

Lecture 18

Anomalies - continuation

We have seen that sometimes symmetries of the Lagrangian are broken by quantum corrections \rightarrow anomalies

Example considered: massless QED

$$\mathcal{L} = -\frac{1}{4}(F_{\mu\nu})^2 + \bar{\psi}(i\gamma^\mu - eA^\mu)\psi$$

The symmetry $U(1)_A \times U(1)_V$ has 2 Noether currents

$$J^\mu = \bar{\psi}\gamma^\mu\psi, J_5^\mu = \bar{\psi}\gamma^\mu\gamma_5\psi$$

In presence of quantum corrections it is impossible for both currents to remain conserved:

$$\langle v v A \rangle = \langle \Sigma | T \{ J_5^\mu J^\nu J^\alpha \} | \Sigma \rangle$$

$$i\partial_\mu \langle J_5^\mu J^\nu J^\alpha \rangle = \frac{1}{4\pi^2} \epsilon^{\nu\alpha\rho\sigma} q_1 p q_2 s$$

$$\partial_\nu \langle J_5^\mu J^\nu J^\alpha \rangle = \partial_\alpha \langle J_5^\mu J^\nu J^\alpha \rangle = 0$$



One of consequences: QED is not chiral: anomaly for vector current cancels exactly between ψ_L and ψ_R .

Path integral formulation:
anomaly arises when a symmetry of the action is not the symmetry of the integral measure

$$\langle O(x_1 \dots x_n) \rangle = \frac{1}{Z[0]} \int D\bar{\psi} D\psi \exp \left[i \int d^4x \left(-\frac{1}{4} F_{\mu\nu}^2 + i \bar{\psi} \not{D} \psi \right) \right] \cdot O$$

with O any gauge-invariant op.

Under $\psi \rightarrow e^{i\alpha(x)} \psi$, action

$$\bar{\psi} \not{\partial} \psi \rightarrow \bar{\psi} \not{\partial} \psi + i \bar{\psi} \gamma^\mu \psi \partial_\mu \alpha$$

$$\int \text{measure } D\bar{\psi} D\psi \rightarrow J^{-2} D\bar{\psi} D\psi$$

(inverse Jacobian for fermions)

$$J = \exp \left(i \int d^4x \alpha(x) \right) \rightarrow |J|^2 = 1$$

and the path integral remains unchanged

The difference:

$$O = \frac{1}{Z[0]} \int d^4z \alpha(z) \int D\bar{\psi} D\psi \exp[iS[A, \psi]] \cdot \frac{\partial}{\partial z^\mu} [\bar{\psi}(z) \gamma^\mu \psi(z)] \cdot O$$

(integration by parts)

$$\hookrightarrow \partial^\mu \langle J^\mu(x) O(x_1 \dots x_n) \rangle = 0$$

Vector current conserved

For axial transformation $\psi \rightarrow e^{i\beta(x)\gamma_5} \psi$
 The measure is not invariant !

$$J = \exp \left[i \int d^4x \beta(x) \text{Tr} \gamma_5 \right] = 0$$

To regulate the divergence introduce a
gauge-invariant regulator

$$\exp \left(\frac{(iD)^2}{\lambda^2} \right)$$

and take limit $\lambda \rightarrow \infty$ at the end

$$\hookrightarrow J = \exp \left[i \int d^4x \beta(x) \text{Tr} \left(\gamma_5 e^{- \frac{D^2}{\lambda^2}} \right) \right] \underset{\lambda \rightarrow \infty}{\longrightarrow}$$

$$D^2 = D^2 + \frac{e^2}{2} G_{\mu\nu} F^{\mu\nu}$$

The first non-vanishing contribution
 to trace comes at order $\frac{1}{\lambda^4}$:

$$\text{Tr} \gamma_5 G^{\mu\nu} = 0$$

$$\text{Tr} \gamma_5 G^{\mu\nu} G^{\alpha\beta} = 4i \epsilon^{\mu\nu\alpha\beta}$$

$$\rightarrow \beta \text{Tr} \left(\frac{1}{\lambda^2} \right) = \frac{e^2}{2} \beta(x) \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta}$$

$$\lim_{\lambda \rightarrow \infty} \left[\frac{1}{\lambda^4} e^{-\frac{\partial^2}{\lambda^2} + O(e^2)} \right]$$

Some subtleties : sandwich between states $|x\rangle, |k\rangle$ of 1P Hilbert space

$$\hookrightarrow \frac{1}{\lambda^4} \langle x | e^{-\frac{\partial^2}{\lambda^2}} | x \rangle = \frac{1}{\lambda^4} \int \frac{d^4 k}{(2\pi)^4} e^{\frac{k^2}{\lambda^2}}$$

$$= \frac{i}{16\pi^2}$$

↓

$$\int D\bar{F}D^4A \exp[iS] O(\dots)$$

$$\rightarrow \int D\bar{F}D^4A \exp \left[i \int d^4x \left(\mathcal{L} - J_\mu^\nu \partial^\mu \beta \right. \right.$$

$$\left. \left. + \beta \frac{e^2}{16\pi^2} \tilde{F}F \right) \right] O(\dots)$$

↓

For arbitrary $\beta(x)$ and O

$$\partial_\mu J_5^\mu = -\frac{e^2}{16\pi^2} \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta}$$

In this form it is explicit that the anomaly is exact and obtains no perturbative corrections

That the symmetry of the Lagrangian is destroyed by quantum corrections should be disturbing if the symmetry is gauged.

In fact, for a gauge field theory to be consistent, gauge anomalies should cancel (unlike global anomalies)

Let's observe how this cancellation works in the Standard Model.

$$SU(3)_{QCD} \times SU(2)_{\text{weak}} \times U(1)_Y$$

Respective currents J_k^μ , $k=s, w, Y$
should be non-anomalous

$$\rightarrow \partial_\mu \langle J_a^\mu J_b^\nu J_c^\lambda \rangle = 0$$

$$1. a=b=c=Y \quad (U(1)_Y^3)$$

$$\partial_\mu J_Y^\mu = \left(\sum_{\text{left}} Y_L^3 - \sum_{\text{right}} Y_R^3 \right) \frac{g'^2}{32\pi^2} \tilde{B}^{\mu\nu} B_{\mu\nu}$$

As for QED, $Q_L - Q_R$ always appears in vector anomaly

$$\Rightarrow (2Y_L^3 - Y_e^3 - Y_\nu^3) + 3(Y_Q^3 - Y_u^3 - Y_d^3) = 0$$

$\uparrow \quad \uparrow \quad \uparrow$

$e_L + \nu_L \quad N_c \quad u_L + d_L$

SM hypercharges:

$$Y_L = -\frac{1}{2}, \quad Y_e = -1, \quad Y_\nu = 0$$

$$Y_Q = \frac{1}{6}, \quad Y_u = \frac{2}{3}, \quad Y_d = -\frac{1}{3}$$

$$2 Y_L^3 - Y_e^3 = \frac{3}{4}$$

$$(2 Y_Q^3 - Y_u^3 - Y_d^3) = \frac{1}{108} - \frac{8}{27} + \frac{1}{27} = -\frac{1}{4}$$

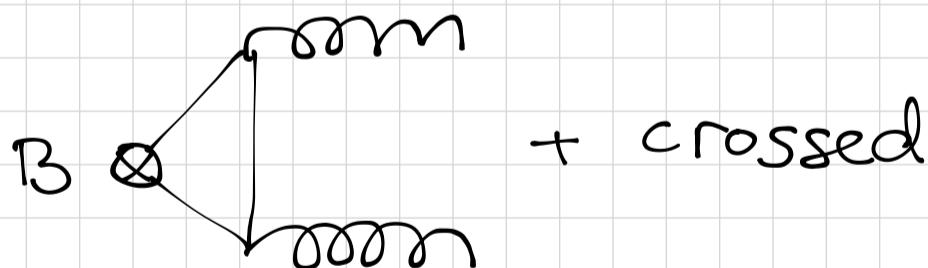
$$\hookrightarrow \frac{3}{4} + 3 \left(-\frac{1}{4} \right) = 0 \quad \text{OK!}$$

In case of non-abelian symmetries
need to account for commutation
relations of group generators.

$$J^{\mu a} = \bar{T}^a T^\mu$$

Because $\text{tr } T^a T^a = 0$ anomaly $\sim \text{SU}(N) \text{ U}(1)^2$

$$\text{SU}(3)^2 \text{ U}(1)_Y$$



$$\text{tr } T^a T^b = \frac{1}{2} \delta^{ab}$$

$$\hookrightarrow \boxed{2 Y_Q - Y_u - Y_d = 0}$$

$$2 \cdot \frac{1}{6} - \frac{2}{3} + \frac{1}{3} = 0 \quad \text{OK}$$

$\text{SU}(2)^2 \text{ U}(1)_Y \rightarrow$ involves only L-fermions



$$Y_L + 3Y_Q = 0$$

$$-\frac{1}{2} + 3 \cdot \frac{1}{6} = 0 \quad \text{OK}$$

$$SU(2)_w^3 \sim \text{tr} [\tau^a \tau^b \tau^c + \tau^a \tau^c \tau^b] = 0$$

↳ no such anomaly

$SU(3)_S^3 = 0$ since gluons couple to q_L and q_R equally (like QED)

A more general case for hypercharges
(an alternative to SM)

$$2Y_L = x + 2y, \quad Y_e = x + y, \quad Y_\nu = y$$

$$2Y_Q = -\frac{1}{3}(x + 2y), \quad Y_u = -\frac{1}{2}(2x + y), \quad Y_d = \frac{1}{2}(x - y)$$

$$\text{SM: } y = 0, \quad x = -1$$

Importantly, $Y_L + 3Y_Q = 0$ always
proton and electron charges are exactly
equal and opposite

What if yet another $U(1)_Y'$ exists
that couples to SM fermions?

→ Anomalies $U(1)_Y^3$, $U(1)_Y^2$, $U(1)_Y$
 and $U(1)_Y$, $U(1)_Y^2$ should cancel

⇒ the same equations as for γ_i
 but a different solution (x, y)

$$x=0, y=-1$$

$$\gamma_L' = \gamma_e = \gamma_Y = -1 \rightarrow \text{lepton number } L$$

$$\gamma_Q' = \gamma_u = \gamma_d' = +\frac{1}{3} \rightarrow \text{baryon number } B$$

↪ this group is $U(1)_{B-L}$

This symmetry is not gauged — global

The currents corresponding to B and L
 are anomalous!

Only nonzero: $SU(2)^2 U(1)_B$; $SU(2)^2 U(1)_L$

$$\partial_\mu J_B^\mu = n_q \frac{g^2}{32\pi^2} \tilde{W}_a^{\mu\nu} W_{\mu\nu}^a$$

(each quark has $B=+\frac{1}{3} \rightarrow$ compute
 for a baryon $|qqq\rangle$ with $B=1$)

For leptons → use $L=1$

$$\Rightarrow \partial_\mu J_L^\mu = n_q^l \frac{g^2}{32\pi^2} \tilde{W}_a^{\mu\nu} W_{\mu\nu}^a$$

! Number of generations for leptons and quarks has to be the same

$$\partial_\mu J^\mu_{B-L} = 0$$

B and L cannot be associated to any gauge symmetry associated w. B or L
But possible to have gauge boson associated to B-L

This is a situation of grand unification (leptons acquire a color and are treated together with quarks)

$$SM = SU(5) \longrightarrow SU(3) \times SO(2) \times U(1)$$

GUT (grand unification theory)

Then, this opens a channel for proton decay $p \rightarrow \pi^0 e^+$ or hydrogen atom annihilation

Proton is stable ($\tau_p > 10^{33}$ yr)

$$\hookrightarrow M_{B-L} \gtrsim 10^{16} \text{ GeV}$$

The very possibility of violating B and L conservation (holds classically) but

keeping $B-L$ intact offers a solution to Matter - Antimatter asymmetry observed in the Universe. ordinary matter is dominated by p, e^- and n , whereas the fraction of \bar{p}, \bar{n}, e^+ is tiny.

Then, for our universe to be created out of symmetric Big Bang, B and L should be violated, but in exactly correlated way, $\Delta B - \Delta L = 0$

Sakharov's criteria for baryogenesis:

1. B -violation
 2. C and CP- violation
 3. Universe should be out of thermal equilibrium
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All these ingredients are present in the standard model, but are not sufficient to explain the Universe that we observe.

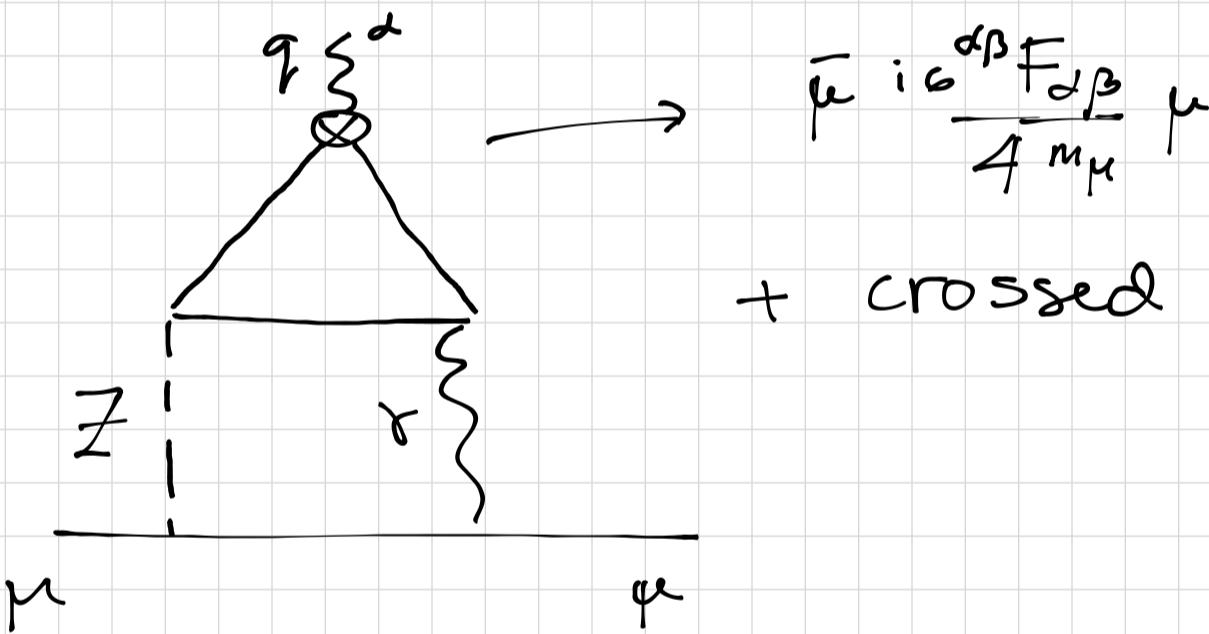
To sum up: anomalies are a very

important aspect of gauge field theory. It took bright minds to derive and understand them.

While most work was done in 1960-1970, there are examples when sound physicists came to wrong conclusions by ignoring anomaly cancellation.

E.g. 2-loop EW contribution to

muon $g-2$:



This graph is UV-sensitive, and in each fermion loop $\log(M_Z^2/m_f^2)$ is generated; a tumult was created when a large "missed" contribution was found

→ subsequently shown that the anomaly cancellation in SM forces

the coeff in front of $\ln M_Z^2$
to be exactly ϕ upon summing
over leptons and quarks.

The only sizable contribution is
then generated by the flavor sym.
breaking by the fermion masses.

