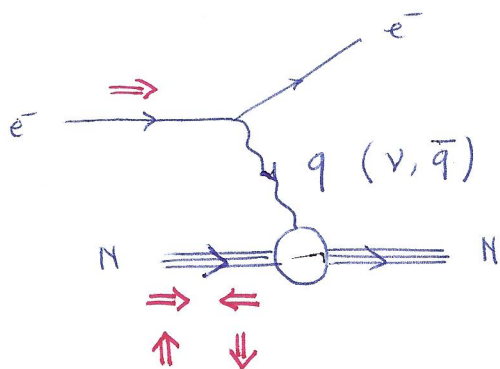


POLARIZED DIS SPIN OF THE NUCLEON

⇒ ASYMMETRIES



$$\underline{\underline{W^{\mu\nu} = W_S^{\mu\nu} + i W_A^{\mu\nu}}}$$

$$W_A^{\mu\nu} = 2 \epsilon^{\mu\nu\alpha\beta} q_\alpha \frac{1}{v} \left\{ S_\beta g_1 + \left(S_\beta - \frac{S \cdot q}{P \cdot q} P_\beta \right) g_2 \right\}$$

$$W_S^{\mu\nu} = 2 \left\{ \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1 + \frac{1}{P \cdot q} \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) F_2 \right\}$$

POLARIZATION OF e^- (HELICITY)

IS TRANSFERRED TO VIRTUAL PHOTON

↓

3 POLARIZATION STATES

$$\epsilon^\mu(\lambda=+1) = -\frac{1}{\sqrt{2}} (0, 1, i, 0)$$

RIGHT-HANDED

$$\epsilon^\mu(\lambda=-1) = \frac{1}{\sqrt{2}} (0, 1, -i, 0)$$

LEFT-HANDED

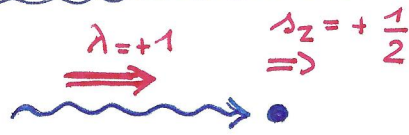
CIRCULAR
POL.

$$\epsilon^\mu(\lambda=0) = \frac{1}{Q} (|\bar{q}|, 0, 0, \nu)$$

LONGITUDINAL

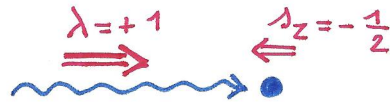
TRANSVERSE γ^* POLARIZATION

SPIN $\searrow 2$



$\sigma_{3/2}$

TOTAL HELICITY: $3/2$



$\sigma_{1/2}$

TOTAL HELICITY: $1/2$

$$\sigma_{3/2} \sim \epsilon_{\mu}^* (\lambda = +1) \epsilon_{\nu} (\lambda = +1) W^{\mu\nu} (s_z = +\frac{1}{2})$$

\downarrow

$$S^{\alpha} (0, 0, 0, 1)$$

PROTON SPIN POL. ALONG Z-AXIS IN ITS REST FRAME

$$\sigma_{1/2} \sim \epsilon_{\mu}^* (\lambda = +1) \epsilon_{\nu} (\lambda = +1) W^{\mu\nu} (s_z = -\frac{1}{2})$$

\downarrow

$$S^{\alpha} (0, 0, 0, -1)$$

$$\hookrightarrow \epsilon_{\mu}^* (\lambda = -1) \epsilon_{\nu} (\lambda = -1) W_S^{\mu\nu} (s_z = +\frac{1}{2})$$

$$= -2 (\epsilon \cdot \epsilon^*) F_1 = 2 F_1$$

$$\hookrightarrow \epsilon_{\mu}^* (\lambda = -1) \epsilon_{\nu} (\lambda = -1) W_A^{\mu\nu} (s_z = +\frac{1}{2})$$

$$= \epsilon_{\mu}^* \epsilon_{\nu} \left[2 \epsilon_{\mu\nu\alpha 3} q^{\alpha} \frac{1}{v} \{ g_1 + g_2 \} + 2 \epsilon_{\mu\nu\alpha 0} q^{\alpha} \frac{1}{v^2} |\bar{q}| g_2 \right]$$

$$= \epsilon_{\mu}^* \epsilon_{\nu} \left[2 \epsilon_{0\mu\nu 3} (g_1 + g_2) - 2 \epsilon_{0\mu\nu 3} \frac{|\bar{q}|^2}{v^2} g_2 \right]$$

$$= \left[\frac{1}{2} (1)(+i) \epsilon_{0123} + \frac{1}{2} (-i)(-1) \epsilon_{0213} \right] \cdot \left\{ 2(g_1 + g_2) - 2 \frac{|\bar{q}|^2}{v^2} g_2 \right\}$$

$$= +i 2 \left\{ g_1 - \frac{Q^2}{v^2} g_2 \right\}$$

$$\begin{aligned} \circ \circ \quad \epsilon_u(\lambda=+1) \epsilon_v^*(\lambda=+1) \underbrace{W^{\mu\nu}}_{\substack{\parallel \\ W_S^{\mu\nu} + i W_A^{\mu\nu}}} (\lambda_z = +\frac{1}{2}) \\ = 2 \left\{ F_1 - \left(g_1 - \frac{Q^2}{\nu^2} g_2 \right) \right\} \end{aligned}$$

$$\begin{aligned} \sigma_{3/2} &\sim 2 \left\{ F_1 - \left(g_1 - \frac{Q^2}{\nu^2} g_2 \right) \right\} \\ \sigma_{1/2} &\sim 2 \left\{ F_1 + \left(g_1 - \frac{Q^2}{\nu^2} g_2 \right) \right\} \end{aligned}$$

⇓

ASYMMETRY

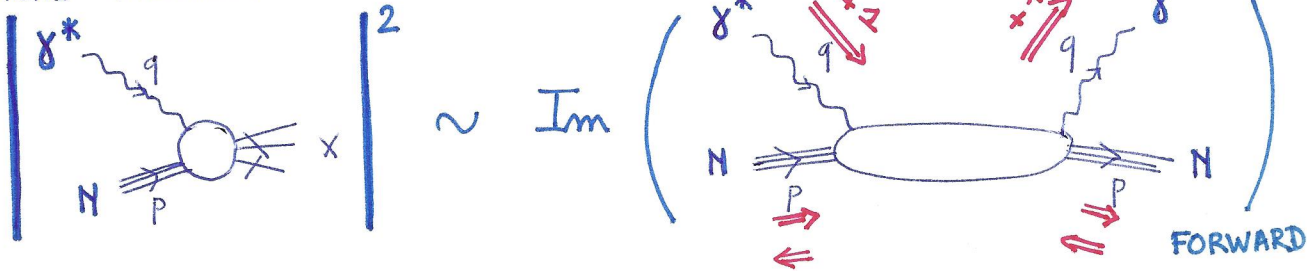
$$\frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}} = \frac{g_1 - \frac{Q^2}{\nu^2} g_2}{F_1}$$

WITH $\frac{Q^2}{\nu^2} = \frac{(2Mx)^2}{Q^2}$

$\xrightarrow{Q^2 \gg} \frac{g_1}{F_1}$

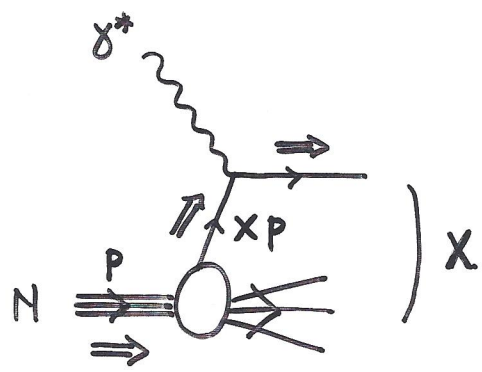
(cf. RESULT IN QUARK PARTON MODEL)

OPTICAL THEOREM

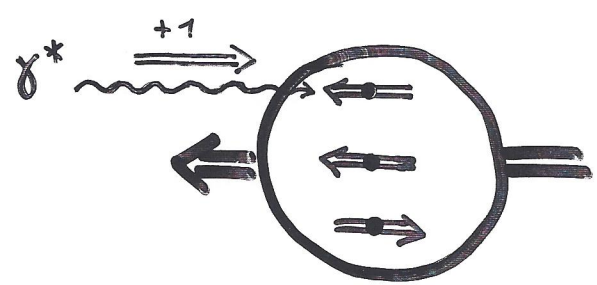
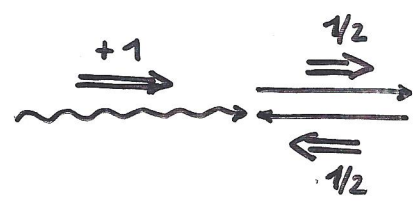


POLARIZED DIS IN QUARK PARTON MODEL

• $g_1(x)$

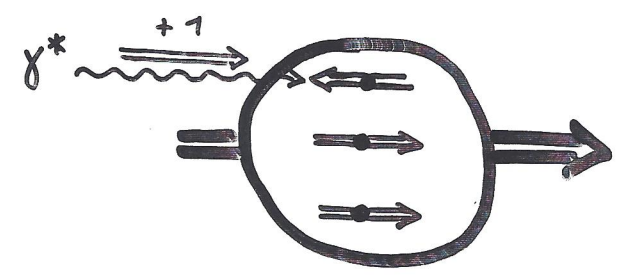


IN LIMIT $m_q \rightarrow 0$: PHOTON & QUARK HELICITIES ARE OPPOSITE



$\sigma_{1/2}$

$q_{\uparrow}(x)$



$\sigma_{3/2}$

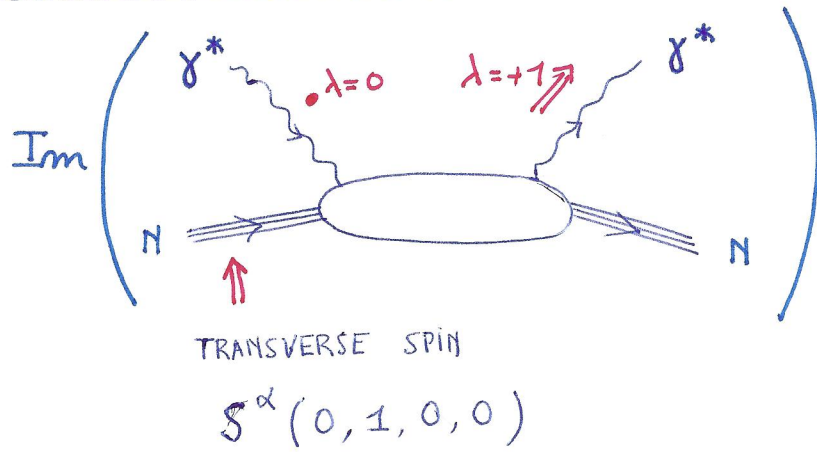
$q_{\downarrow}(x)$

$\sigma_{1/2} - \sigma_{3/2} \sim q_{\uparrow}(x) - q_{\downarrow}(x) = \Delta q(x)$

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 \Delta q(x)$$

↑
QUARK HELICITY DISTRIBUTION

LONGITUDINAL γ^* POLARIZATION



$$\sigma_{LT} \sim \mathcal{E}^{\mu*}(\lambda=+1) \mathcal{E}^\nu(\lambda=0) W_{\mu\nu}$$

$$= i \mathcal{E}^{\mu*}(\lambda=+1) \mathcal{E}^\nu(\lambda=0) W_{\mu\nu}^A$$

$$= 2i \mathcal{E}^{\mu*}(\lambda=+1) \frac{1}{vQ} \left\{ |\bar{q}|^2 \epsilon_{\mu 0 3 \beta} [S^\beta g_1 + S^\beta g_2] + v^2 \epsilon_{\mu 3 0 \beta} [S^\beta g_1 + S^\beta g_2] \right\}$$

$$\downarrow \beta = 1 \Rightarrow \mu = 2$$

$$= 2i \left(\frac{i}{\sqrt{2}} \right) \frac{1}{vQ} \left\{ |\bar{q}|^2 \underbrace{\epsilon_{2031}}_{-1} + v^2 \underbrace{\epsilon_{2301}}_{+1} \right\} (g_1 + g_2)$$

$$= \sqrt{2} \frac{Q}{v} (g_1 + g_2)$$

$$\sigma_{LT} \sim \sqrt{2} \frac{2Mx}{Q} (g_1 + g_2)$$

ASYMMETRY

$$\frac{2\sigma_{LT}}{\sigma_{1/2} + \sigma_{3/2}} = \sqrt{2} \left(\frac{2Mx}{Q} \right) \cdot \frac{g_1 + g_2}{2F_1}$$

⇒ NUCLEON SPIN STRUCTURE
IN NON-RELATIVISTIC CONSTITUENT QUARK MODEL

- SU(6) SPIN-FLAVOR WAVEFUNCTION

$$|P_{\uparrow}\rangle = \frac{1}{\sqrt{6}} \left\{ 2 |u_{\uparrow} u_{\uparrow} d_{\downarrow}\rangle - |u_{\uparrow} u_{\downarrow} d_{\uparrow}\rangle - |u_{\downarrow} u_{\uparrow} d_{\uparrow}\rangle \right\}$$

+ SU(6) PERMUTATIONS

- $\langle U_{\uparrow} \rangle = \langle P_{\uparrow} | \frac{1}{2} (1 + \hat{\sigma}_3) \frac{1}{2} (1 + \hat{\tau}_3) | P_{\uparrow} \rangle$

$$\Delta U = \langle U_{\uparrow} \rangle - \langle U_{\downarrow} \rangle$$

$$= \frac{1}{2} \langle P_{\uparrow} | \hat{\sigma}_3 (1 + \hat{\tau}_3) | P_{\uparrow} \rangle$$

$$= \frac{1}{2} \left\{ \langle \hat{\sigma}_3 \rangle + \langle \hat{\sigma}_3 \hat{\tau}_3 \rangle \right\}$$

$$\hat{\sigma}_3 \equiv \sum_{i=1}^3 \hat{\sigma}_3^{(i)}$$

↳ FOR EACH OF 3 QUARKS

$$\hat{\tau}_3 \equiv \sum_{i=1}^3 \hat{\tau}_3^{(i)}$$

$$\frac{1}{6} (4 + 4 - 2) \qquad \frac{1}{6} (4 + 4 + 2)$$

↑
↑
↑
 QUARK 1 QUARK 2 QUARK 3

$$\Delta U = \frac{1}{2} \left\{ 1 + \frac{5}{3} \right\} \Rightarrow \boxed{\Delta U = \frac{4}{3}}$$

TOTAL NUCLEON SPIN CONTRIBUTION CARRIED BY U-QUARK

- $\langle \Delta d \rangle = \frac{1}{2} \left\{ \langle \hat{\sigma}_3 \rangle - \langle \hat{\sigma}_3 \hat{\tau}_3 \rangle \right\}$

$$= \frac{1}{2} \left\{ 1 - \frac{5}{3} \right\} \Rightarrow \boxed{\Delta d = -\frac{1}{3}}$$

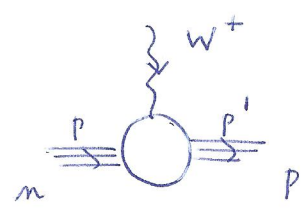
- IN NON-REL. QUARK MODEL $\Delta \Sigma \equiv \Delta U + \Delta d = 1$

AXIAL CURRENT

- $$\langle P | \frac{1}{2} (\bar{u} \gamma^\mu \gamma_5 u - \bar{d} \gamma^\mu \gamma_5 d) | P \rangle$$

$$\equiv \bar{N} \left(G_A(t) \gamma^\mu \gamma_5 N + \text{TERM WHICH VANISHES FOR } P' = P \right)$$

AXIAL FORM FACTOR



$$t \equiv (P' - P)^2$$

$$G_A(t=0) \equiv g_A \quad \text{AXIAL COUPLING CONSTANT}$$

EXPERIMENTALLY: $g_A \approx 1.267$ FROM n -BETA DECAY

$\rightarrow g_A =$

$$\rightarrow \langle P_\uparrow | \frac{1}{2} (\bar{u} \gamma^i \gamma_5 u - \bar{d} \gamma^i \gamma_5 d) | P_\uparrow \rangle_{t=0}$$

$$= g_A \bar{N}(P, \uparrow) \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix} \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} N(P, \uparrow)$$

$$= g_A N^\dagger(P, \uparrow) \begin{pmatrix} \sigma^i & 0 \\ 0 & \sigma^i \end{pmatrix} N(P, \uparrow)$$

\downarrow
cfr. SPIN VECTOR OPERATOR

$$\downarrow$$

$$g_A = \langle \hat{\sigma}_3 \hat{\tau}_3 \rangle$$

$$g_A = \frac{5}{3} = \Delta u - \Delta d > 1.267 \quad \nabla$$

- RELATIVISTIC QUARK MODEL

$$\Delta u \approx 1.0, \quad \Delta d \approx -0.25 \Rightarrow \Delta \Sigma \approx 0.75$$

FIRST MOMENT OF g_1

- $$g_1^P(x) = \frac{1}{2} \left\{ \frac{4}{g} (\Delta U + \Delta \bar{U}) + \frac{1}{g} (\Delta d + \Delta \bar{d}) + \frac{1}{g} (\Delta s + \Delta \bar{s}) \right\}$$

$$g_1^n(x) = \frac{1}{2} \left\{ \frac{4}{g} (\Delta d + \Delta \bar{d}) + \frac{1}{g} (\Delta U + \Delta \bar{U}) + \frac{1}{g} (\Delta s + \Delta \bar{s}) \right\}$$

$$G_1^{P,n} \equiv \int_0^1 dx \quad g_1^{P,n}(x)$$

- ## SU(3)_f AXIAL CHARGES

$$\Delta q \equiv \int_0^1 dx \quad \Delta q(x)$$

$$a_0 = (\Delta U + \Delta \bar{U}) + (\Delta d + \Delta \bar{d}) + (\Delta s + \Delta \bar{s}) \equiv \Delta \Sigma$$

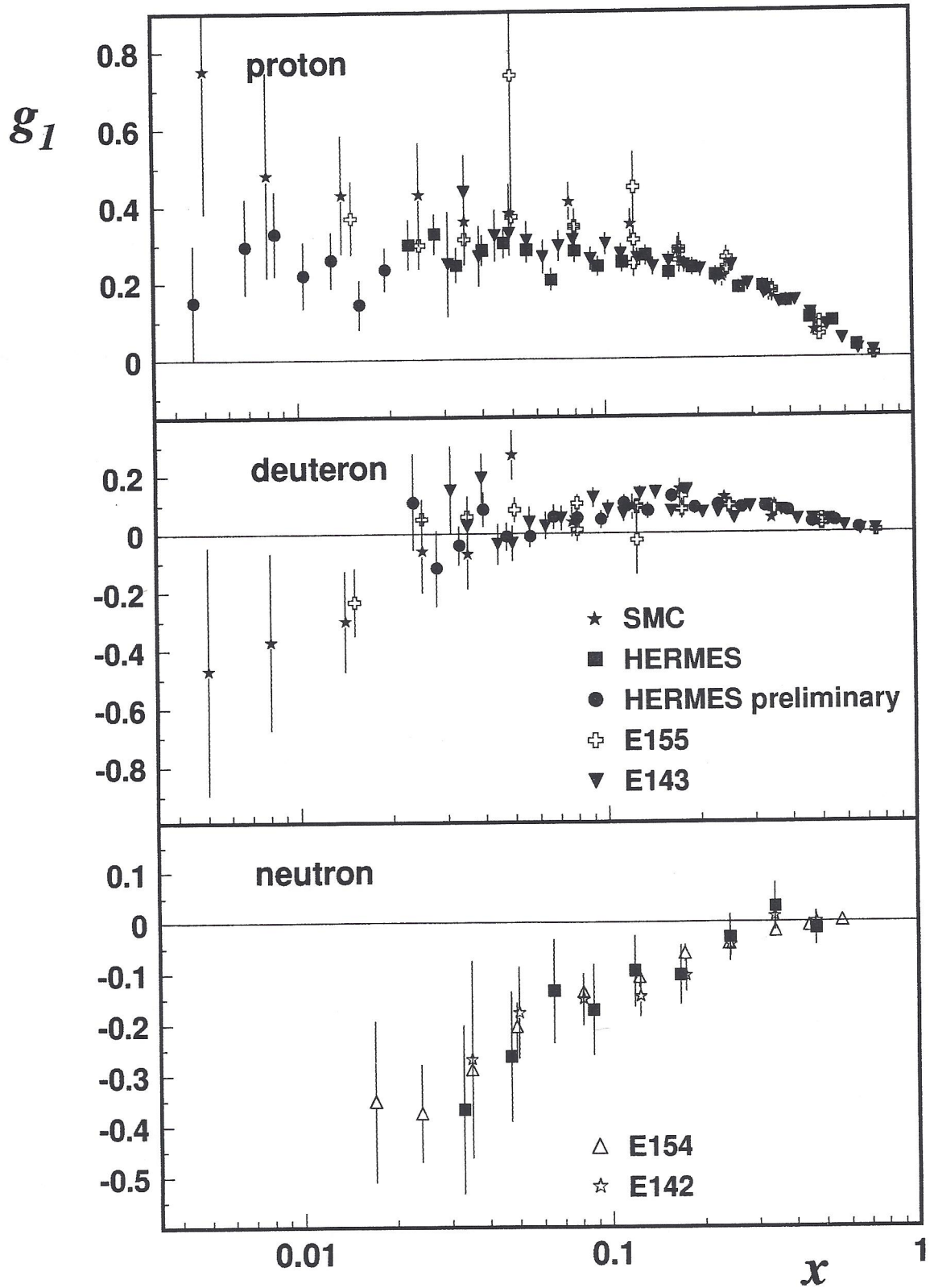
$$a_3 = (\Delta U + \Delta \bar{U}) - (\Delta d + \Delta \bar{d})$$

$$a_8 = (\Delta U + \Delta \bar{U}) + (\Delta d + \Delta \bar{d}) - 2(\Delta s + \Delta \bar{s})$$

$$n \quad \beta\text{-DECAY} \quad \Rightarrow \quad a_3 = g_A = 1.267$$

$$\text{HYPERON } \beta\text{-DECAY} \quad \Rightarrow \quad a_8 \approx 0.58 \pm 0.03$$

g_1 STRUCTURE FUNCTIONS of p, d, and n



BJORKEN SUM RULE

$$\begin{aligned}
 \bullet \quad \Gamma_1^P - \Gamma_1^N &= \frac{1}{6} \int_0^1 dx \left\{ (\Delta U + \Delta \bar{U}) - (\Delta d + \Delta \bar{d}) \right\} \\
 &= \frac{1}{6} a_3 \\
 &= \underbrace{\frac{1}{6} g_A}_{\approx 0.21} \left[1 - \frac{\alpha_s(Q^2)}{\pi} + O(\alpha_s^2) \right]
 \end{aligned}$$

BASED ON ISOSPIN SYMMETRY & CURRENT ALGEBRA

● EXPERIMENT

$$\left(\begin{array}{c} \text{E155} \\ '00 \end{array} \right) \quad \underline{\underline{\Gamma_1^P - \Gamma_1^N (Q^2 = 5 \text{ GeV}^2) = 0.176 \pm 0.003 \pm 0.007}}$$

$$\underline{\underline{\text{THEORY}}} : \quad \Gamma_1^P - \Gamma_1^N (Q^2 = 5 \text{ GeV}^2) = 0.181 \pm 0.005 \quad \checkmark$$

ELLIS - JAFFE SUM RULES

$$\Gamma_{1, P, n} = \frac{1}{12} \left\{ \frac{4}{3} a_0 \pm a_3 + \frac{1}{3} a_8 \right\}$$

● ELLIS - JAFFE : ASSUME $\Delta \downarrow = \Delta \bar{\downarrow} = 0$



$a_0 = a_8$ \Leftrightarrow $\Delta \Sigma \approx 0.6$

$$\Gamma_{1, EJ, P, n} = \frac{1}{12} \left\{ \frac{5}{3} a_8 \pm a_3 \right\}$$

$Q^2 = 5 \text{ GeV}^2 \rightarrow \Gamma_{1, EJ}^P = 0.163 \pm 0.004$
 $\searrow \Gamma_{1, EJ}^n = -0.019 \pm 0.004$

● EXPERIMENT (SLAC, SMC, HERMES)

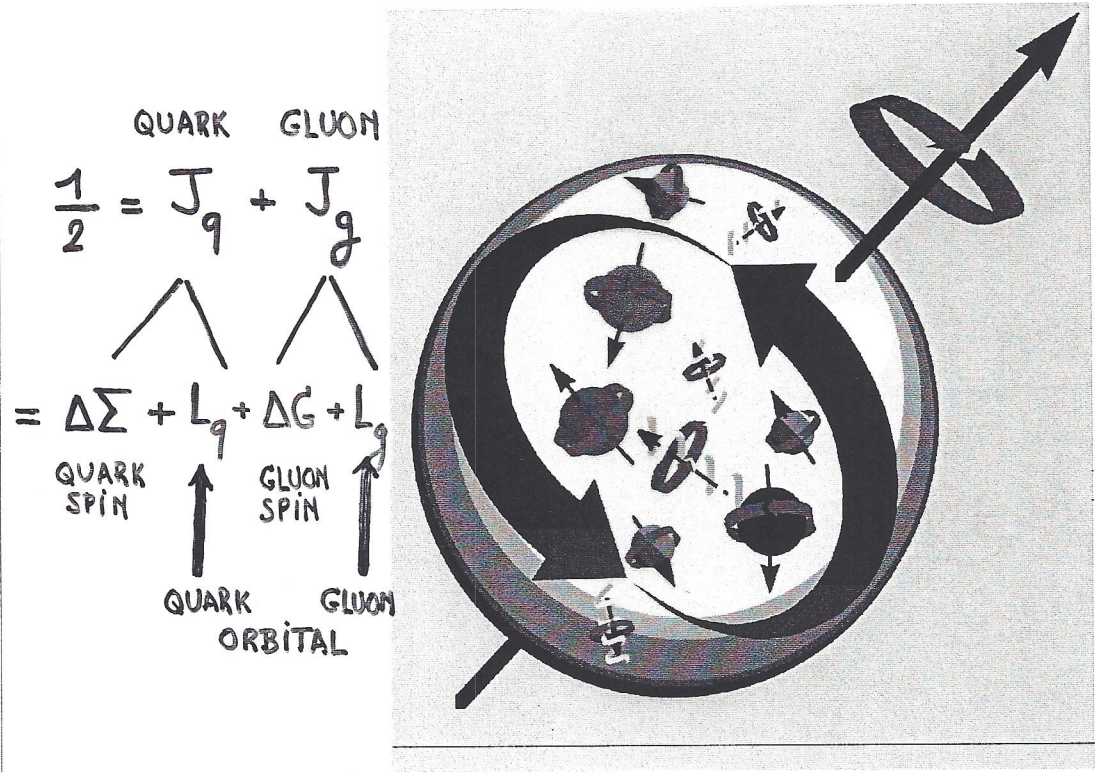
(E155) $\Gamma_1^P(Q^2 = 5 \text{ GeV}^2) = 0.118 \pm 0.004 \pm 0.007$
 $\Gamma_1^n(Q^2 = 5 \text{ GeV}^2) = -0.058 \pm 0.005 \pm 0.008$

ELLIS - JAFFE SUM RULES **NOT** VERIFIED

EXPERIMENT \Rightarrow $\Delta \Sigma \approx 0.3$

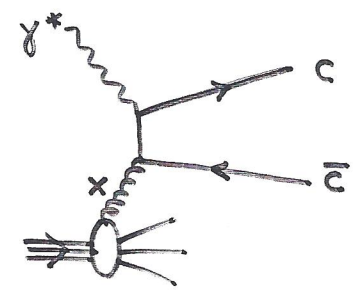
NOTE HOWEVER SCHEME DEPENDENT AT NLO

Where does main part of nucleon spin come from ?



⇒ GLUON contribution

ΔG

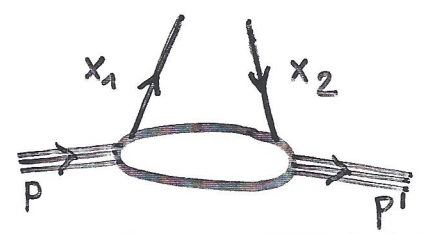


HERMES
COMPASS
SLAC
RHIC SPIN

⇒ ORBITAL contribution

accessible through Generalized Parton Distributions (GPD)

L_q



OR



JLAB
HERMES

GPDs : 'microsurgery' of nucleon at quark level

GLUON HELICITY DISTRIBUTION

$$J_g = \Delta G + L_g$$

(LIGHT-CONE GAUGE)

↓
PARTONIC INTERPRETATION

⇒ ΔG FROM QCD SCALE EVOLUTION

NLO :

$$g_1(x, Q^2) = \frac{1}{2} \sum_q e_q^2 C_q(x, \alpha_s) \otimes \Delta q(x, Q^2) + \frac{1}{N_f} C_g(x, \alpha_s) \otimes \Delta G(x, Q^2)$$



C_q, C_g : WILSON COEFFICIENTS, CALCULATED IN PERTURBATIVE QCD

FACTORIZATION SCHEME DEPENDENCE (\overline{MS}, AB, \dots)

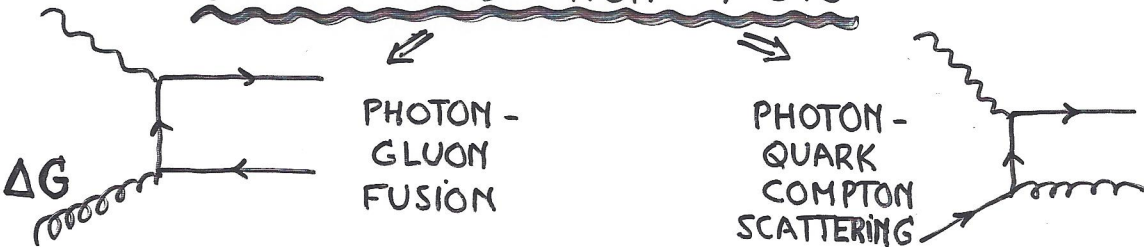
$$C_q(x, \alpha_s) = \delta(1-x) + \frac{\alpha_s(Q^2)}{2\pi} C_q^{(1)} + \dots$$

$$C_g(x, \alpha_s) = 0 + \frac{\alpha_s(Q^2)}{2\pi} C_g^{(1)} + \dots$$

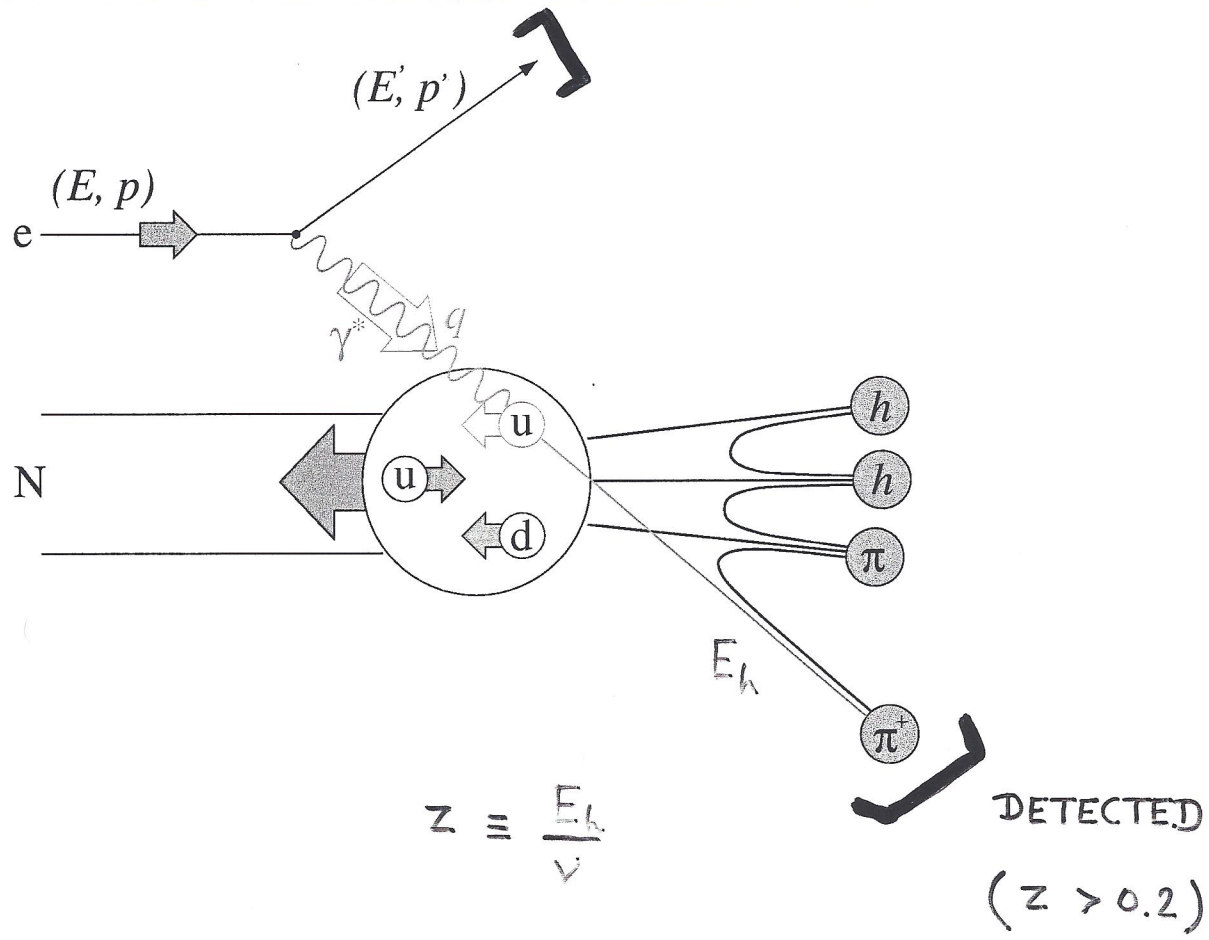
DISADVANTAGE : MEASURED RANGE IN Q^2 IS LIMITED
↳ IMPRECISE EXTRACTION

⇒ DIRECT MEASUREMENTS OF ΔG (HERMES, COMPASS, RHIC)

DI-JET PRODUCTION IN DIS



POLARIZED SEMI-INCLUSIVE DIS



VIRTUAL PHOTON NUCLEON ASYMMETRY

$$A_1^h(x, Q^2) = (\sigma_{1/2} - \sigma_{3/2}) / (\sigma_{1/2} + \sigma_{3/2})$$

$$\approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) \cdot \int_{z_{min}}^1 dz D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) \cdot \int_{z_{min}}^1 dz D_q^h(z, Q^2)}$$

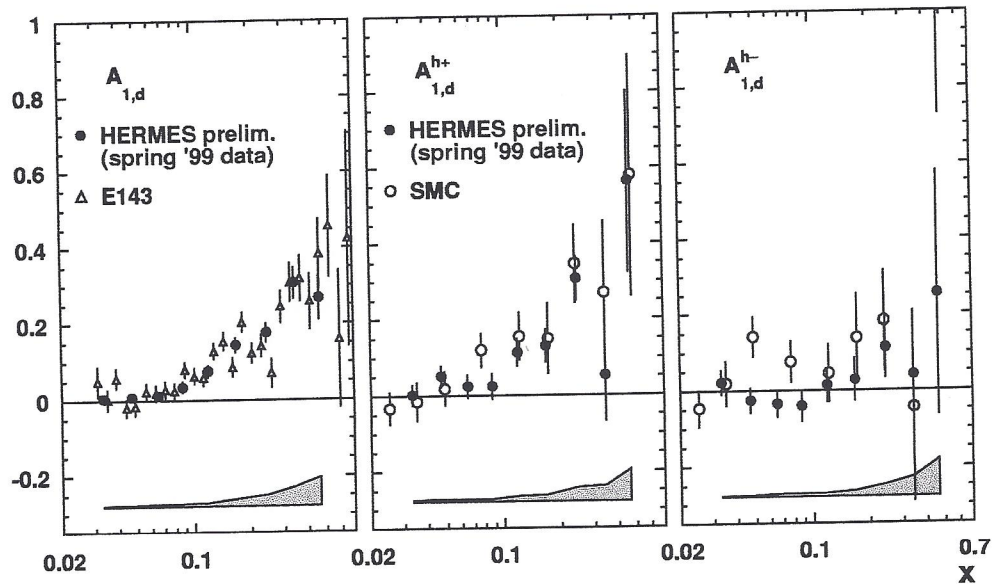
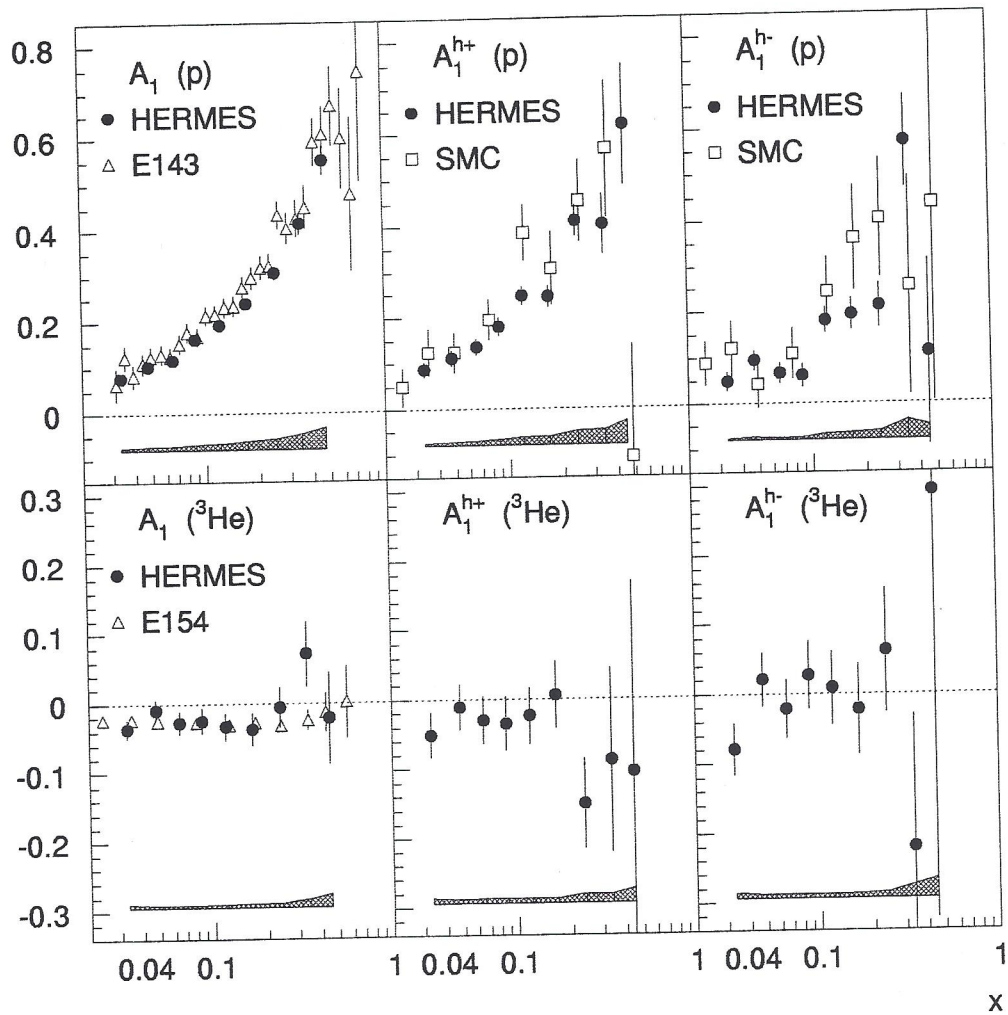
↓
FRAGMENTATION
FUNCTION
(LUND MODEL)

$$= \sum_q P_q^h(x) \frac{\Delta q(x)}{q(x)}$$

↓
'PURITY' FUNCTION:
(GENERATED BY MC)

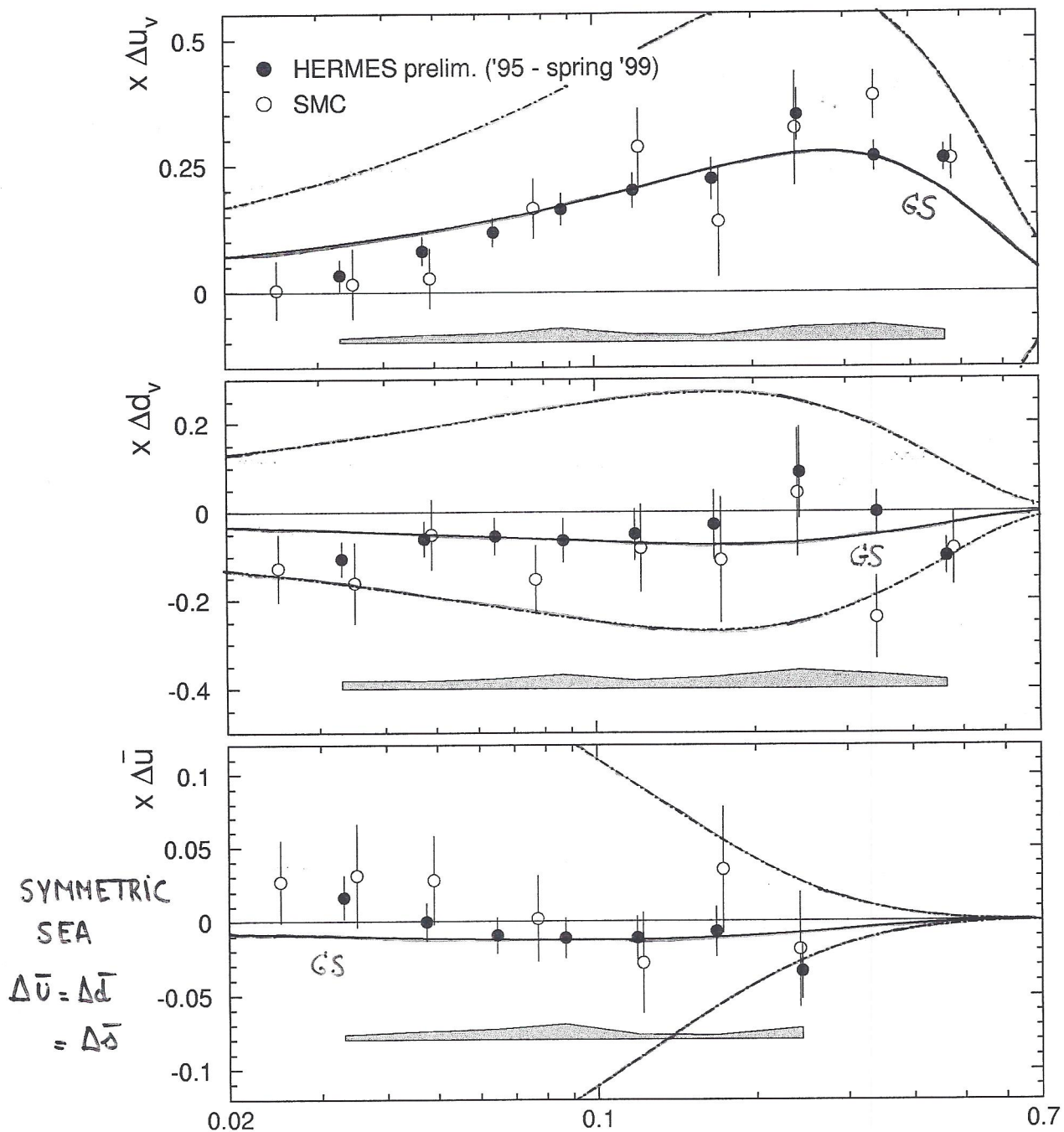
PROBABILITY THAT HADRON
ORIGINATES FROM THE
SCATTERING OFF A QUARK q

SEMI-INCLUSIVE ASYMMETRIES



POLARIZED VALENCE and SEA Quark

Distributions in the PROTON



○ SMC DATA : EVOLVED TO $Q^2 = 2.5 \text{ GeV}^2$

— GS : GEHRMANN - STIRLING PARAMETRIZATION (LO)

— POSITIVITY LIMITS $\Delta q(x) = q(x)$

SEPARATED QUARK SPIN DISTRIBUTIONS

- SEMI-INCLUSIVE DIS (SMC, HERMES)

HERMES RESULTS AT $Q^2 = 2.5 \text{ GeV}^2$

$$\Delta U_V = 0.57 \pm 0.05 \pm 0.08$$

$$\Delta d_V = -0.22 \pm 0.11 \pm 0.13$$

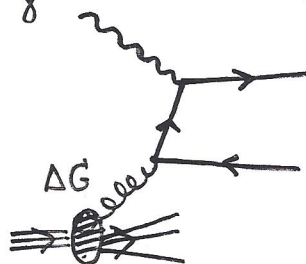
$$\Delta \bar{U} = -0.01 \pm 0.02 \pm 0.03$$



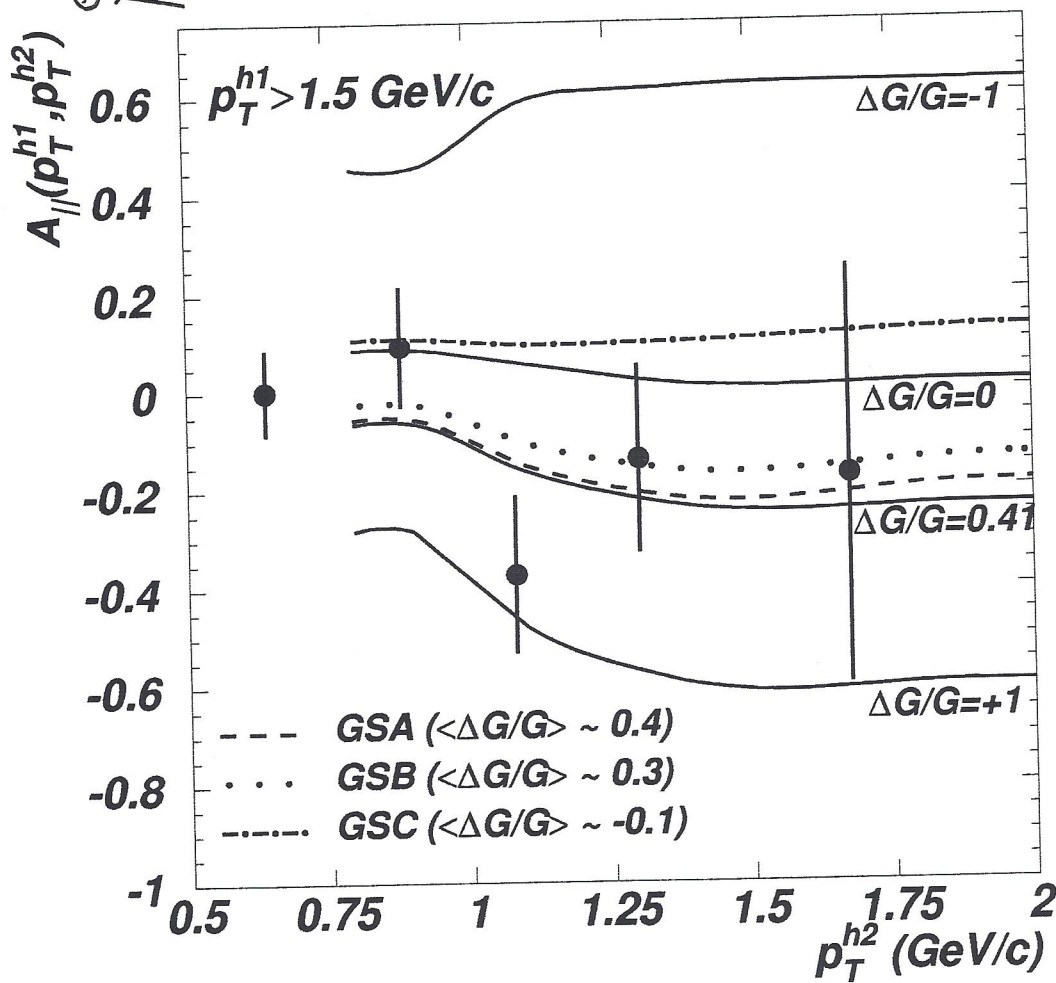
$$\underline{\underline{\Delta \Sigma \approx 0.3}}$$

POLARIZED GLUON Distribution in the PROTON

"DI-JET" PRODUCTION IN DIS



- AT LEAST
2 HADRONS
- OF OPPOSITE CHARGE
 - AT HIGH P_T ($M_{\pi\pi} > 1 \text{ GeV}$)

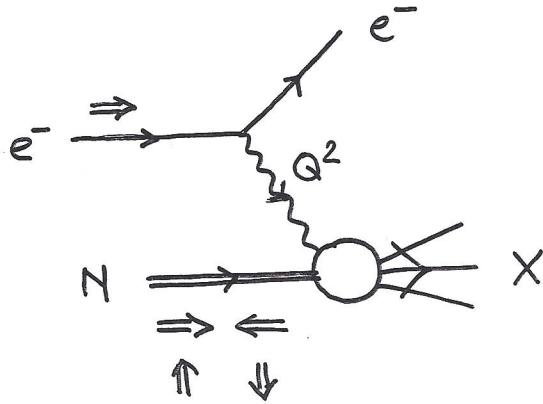


(HERMES '00) ^{PRL}

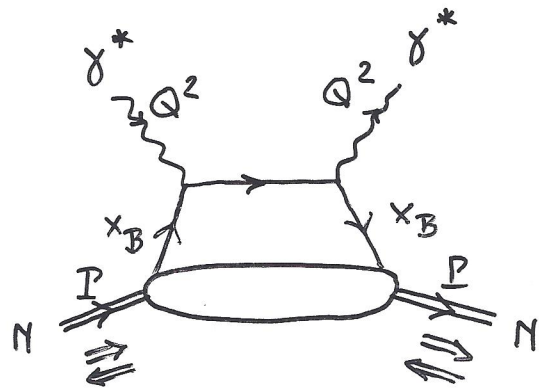
$$\left\langle \frac{\Delta G}{G} \right\rangle = 0.41 \pm 0.18 \text{ (stat.)} \pm 0.03 \text{ (syst.)}$$

WITH $\langle x_G \rangle = 0.17$

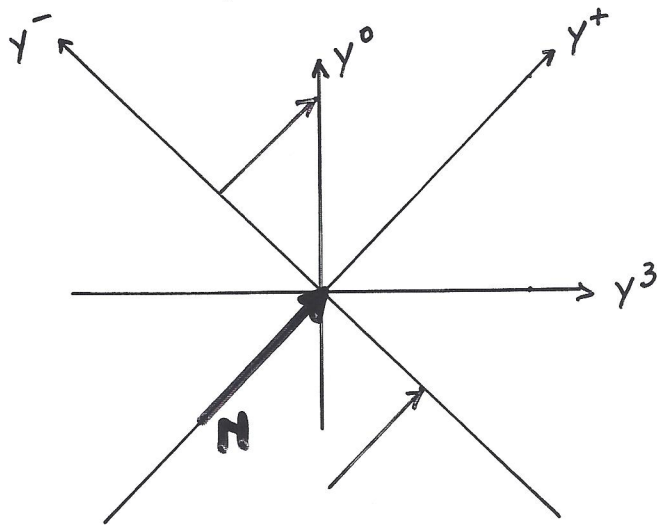
WANDZURA - WILCZEK RELATION FOR g_2



≡



$$\underline{\underline{S^\mu = (S \cdot n) P^\mu + S_\perp^\mu}}$$




$$(S \cdot n) g_1(x) = P^+ \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle PS | \bar{\psi}(\frac{y}{2}) \not{n} \gamma_5 \psi(-\frac{y}{2}) | PS \rangle$$

$y^+ = 0$
 $y_\perp = 0$

$$S_\perp^\mu g_T(x) = P^+ \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle PS | \bar{\psi}(\frac{y}{2}) \gamma_\perp^\mu \gamma_5 \psi(-\frac{y}{2}) | PS \rangle$$

$y^+ = 0$
 $y_\perp = 0$

$$\underline{\underline{g_T \equiv g_1 + g_2}}$$

- g_1 : TWIST-2  $\sim \Delta q(x)$
- g_2 : TWIST-2 + TWIST-3

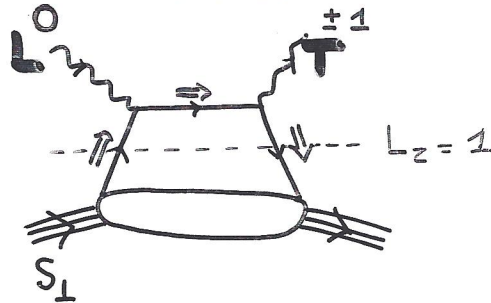
$$g_2(x, Q^2) = g_2^{WW}(x, Q^2) + \bar{g}_2(x, Q^2)$$

↑ TWIST-2

• QUARK MASS TERM

WANDZURA - WILCZEK

• TWIST-3
QUARK - GLUON
CORRELATIONS
IN NUCLEON



$$\Rightarrow g_2^{WW}(x_B, Q^2) = -g_1(x_B, Q^2) + \int_{x_B}^1 dx \frac{1}{x} g_1(x, Q^2)$$

(WANDZURA, WILCZEK 1977)

\Rightarrow DEVIATION \bar{g}_2 : MEASURE FOR SIZE OF
TWIST-3 QUARK - GLUON MATRIX E

MOMENT $d_2 \equiv 3 \int_0^1 dx x^2 \bar{g}_2(x)$

$d_2^{WW} = 0$



$$= \int_0^1 dx x^2 \{ 3 g_2(x) + 2 g_1(x) \}$$

Experimental results for g_2

